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PATENT

Docket No. 4551-4001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Robert Wallach, et al.

Group Art Unit: 3626

Serial No.: 09/645,020

Examiner: Frenel, Vanel

Filed: August 23, 2000

For: INSURANCE INCENTIVE PROGRAM FOR PROMOTING THE PURCHASE OR  
LEASE OF AN AUTOMOBILE

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF FACSIMILE TRANSMISSION**

Sir:

I hereby certify that the attached Applicant Initiated Interview Request Form is  
being transmitted on the date shown below to the Examiner Vanel Frenel of Group No. 3626 at  
the following facsimile number: 703-305-7687.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 12, 2004

By:

Daniel C. Sheridan

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PTOL-413A (09-04)  
Approved for use through 07/31/2008. OMB 0051-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/645,020 First Named Applicant: Robert Wallach  
Examiner: Vanel Frenel Art Unit: 3626 Status of Application: Pending

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**Tentative Participants:**

(1) Mr. Robert Wallach (2) Mr. Jasper Jackson  
(3) Mr. Jeff Brandt Reg. No. 31,490 (4) Mr. Walter Hanchuk Reg. No. 35,179

Proposed Date of Interview: November 18, 2004 Proposed Time: 3:30 PM (AM/PM)

**Type of Interview Requested:**

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc) Rej. in OA dated	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>12/03/2003</u>	<u>Claims 1-70</u>	<u>Joao US 6,347,302</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	<u>Borghesi US 5,950,169</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Continuation Sheet Attached					

**Brief Description of Arguments to be Presented:**

Applicants will be discussing the cited references and aspects of the pending claims that are  
believed to be patentably distinct from the cited references.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant/Applicant's Representative Signature \_\_\_\_\_

Examiner/SPE Signature \_\_\_\_\_

Typed/Printed Name of Applicant or Representative \_\_\_\_\_

Registration Number, if applicable \_\_\_\_\_

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which it is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

When used, reference to applicant's name, call 1-866-270-0100 and select option 2